

06-12-00

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Express Mail Label No.: EL559134862US

**NEW UTILITY PATENT APPLICATION
TRANSMITTAL
(Small Entity)**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Docket No. N47.2-9184

Total Pages in this Submission
(including checks and postcard)

42

jc530 U.S. PTO
09/590976
06/09/00

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled: LED WARNING SIGNAL LIGHT AND LIGHT SUPPORT

and invented by: John C. Pederson

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

09/169,871, filed October 12, 1998

Enclosed (in addition to the 4 pages of this transmittal) are:

4 pages

Application Elements

1. ☒ Filing fee as calculated below:
- a ☒ filing fee is NOT ENCLOSED - fee will be paid at the time of responding to Notice of Missing Parts - DO NOT CHARGE DEPOSIT ACCOUNT
- b. ☐ a check in the amount of \$ _____ to cover the filing fee is enclosed.
- c. ☐ charged to Deposit Account as authorized in item 2(a) below _____ pages

| FEE CALCULATION AND CLAIMS | | | | | |
|----------------------------|-----------|-------------|-----------|-----------|----------|
| For | No. Filed | No. Allowed | No. Extra | Rate | Fee |
| Total Claims | 32 | 20 - = | 12 | x \$9.00 | \$108.00 |
| Indep. Claims | 4 | 3 - = | 1 | x \$39.00 | \$ 39.00 |
| BASIC FEE | | | | | \$345.00 |
| TOTAL FILING FEE | | | | | \$492.00 |

continued on next page.....

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2. The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0350 as described below. A duplicate copy of this sheet is enclosed. _____ page
- a. ☐ Charge the amount of \$____ as filing fee.
- b. ☒ Credit any overpayment.
- c. ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- d. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
3. ☒ Specification having 21 pages and including the following: _____ 21 pages
- a. ☒ Application Cover Sheet - 1 page
- b. ☒ Descriptive Title of the Invention
- c. ☒ Cross References to Related Applications (*if applicable*)
- d. ☐ Statement Regarding Federally-sponsored Research/Development (*if applicable*)
- e. ☐ Reference to Microfiche Appendix (*if applicable*)
- f. ☒ Background of the Invention
- g. ☒ Brief Summary of the Invention
- h. ☒ Brief Description of the Drawings (*if applicable*)
- i. ☒ Detailed Description
- j. ☒ Claim(s) as Classified Below - 5 pages
- k. ☒ Abstract of the Disclosure - 1 page
4. ☒ Drawing(s) (*when necessary as prescribed by 35 U.S.C. 113*) - 8 sheets _____ 8 pages
5. ☐ Oath or Declaration _____ pages
- a. ☐ Newly executed (*original or copy*) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (*for continuation/divisional appln only*)
6. ☐ Separate Power of attorney _____ pages
- ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee and power of attorney is from assignee*). It is hereby certified that the undersigned has authority to make this certification and has reviewed all the documents in the chain of title of the patent application identified herein and, to the best of undersigned's knowledge and belief, title is in the assignee identified in the accompanying Power of Attorney.
- ☐ Power of Attorney filed in parent application.

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7. ☐ Incorporation by Reference *(usable if Box 5b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☐ Verified Statement(s) Claiming Small Entity Status _____ pages
- a. ☐ Independent Inventor
- b. ☐ Small Business Concern ("Assignee")
- c. ☐ Non-Profit Organization
- d. ☐ Non-Inventor Supporting Claim by Another
- e. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
9. ☐ Computer Program in Microfiche *(Appendix)* _____ pages
10. ☐ Nucleotide and/or Amino Acid Sequence Submission _____ pages
(if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

11. ☐ Assignment Papers: _____ pages
- a. ☐ Assignment Recordation Cover Sheet (Form PTO 1595)
- b. ☐ Assignment
- c. ☐ A check in the amount of \$_____ to cover the Recordal Fee
- d. ☐ Previously recorded on _____, Reel *, Frame *.
12. ☐ English Translation Document *(if applicable)* _____ pages
13. ☐ Information Disclosure Statement: _____ pages
- a. ☐ PTO Form 1449 b. ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment _____ 6 _____ pages
The fee calculation below is based on the claims after the preliminary amendment.

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| NEW UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity) <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i> | Docket No. N47.2-9184 |
| | Total Pages in this Submission (including checks and postcard) <u>42</u> |

15. ☒ Acknowledgement Postcard - 1 page
16. ☒ Form of Mailing - Express Mail (*Specify Label No.*): EL559134862US
17. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*) ___ pages
18. ☒ Additional Enclosures (*please identify below*): 2 pages
- ☒ Constructive Petition for Extension of Time and Fee Authorization Pursuant to 37 C.F.R. §1.136(a)(3)
 - ☒ Correspondence Address form
 - ☐ _____

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: June 9th, 2000

By: _____

Edwin E. Voigt II, Esq.
Registration No. 36,042

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